Who Does What for Chairs & Directors
Revised March  6, 2018

RECRUITMENT OF NEW FACULTY

Annual request for departmental hiring requests (tenure track faculty)
- Submission of plans from chairs: asccentral.osu.edu (effective 4/18)
- Review and prioritization: divisional deans, Kim Kinsel, HR
- Review space/renovation issues: Sergio Soave
- Approve searches: David Manderscheid

Search committee diversity training and resources: Susan Williams

Job postings: divisional deans (approval); HR managers

EEO data: HR generalists

Review Faculty Search Diversity Report: divisional deans (send to divisional deans’ assistants)

College interviews for prospective tenure track faculty
- Schedule all candidates, including those from regional campuses, with divisional deans (Tina Henkin as back up): divisional deans’ assistants (after receipt of Search Diversity Report)
- Schedule senior candidates with Dean Manderscheid (Susan Williams as back up): Heather Core upon notification by divisional deans’ assistants
- Schedule Discovery Theme hires with DT focus area leader: DT focus area program manager or coordinator
- Campus information packets: HR managers

Letters of offer
- Preparation: HR managers
- College approvals: Kim Kinsel, divisional deans, David Manderscheid
- Submission of letters of offer to OAA: HR managers
- Submission of tenure packet for senior hires to OAA: divisional deans’ assistants
- Consultation about unusual offers, senior offers, chaired professorships, P & T: Susan Williams

Joint appointment MOUs
- Creation and approval routing: HR managers
- Approvals: divisional deans
Special opportunity hires:
- Assistance with data about diversity in individual units: Liana Crisan-Vandeborne
- Review of individual proposals: divisional deans
- Approver: David Manderscheid
- Initiate request to OAA for funding: HR managers for divisional deans, in consultation with David Manderscheid

Lecturer and other associated faculty appointments
- Approval: chairs; divisional deans as requested
- Questions about appointment types: Susan Williams
- Templates, guidelines, advice on appointment dates: HR managers

ONBOARDING OF NEW FACULTY/CHAIRS

New Faculty Orientation: Susan Williams, Tina Henkin
- Speakers: David Manderscheid, Susan Williams

Chair training
- OAA program: Kay Wolf
- ASC new chair orientation: Susan Williams, Tina Henkin
- Brown bag peer group for new chairs: Tina Henkin
- Liaison to university leadership programs: Susan Williams
  - Big 10 Academic Alliance Department Executive Officer program nominations
  - Big 10 Academic Alliance Academic Leadership Program nominations
  - PPLI nominations

Governance documents
- College approver and screener: Susan Williams
  - write and post revision guide: Susan Williams
  - tracking: Meg Piasecki
  - consultation on specific criteria: divisional deans
  - extensions to OAA deadlines: divisional deans to Kay Wolf
  - record keeping of documents that have been superseded: departments

PI Status
- Submit requests to Christopher Hadad (via Beth VanGundy) for approval
- Submission for OR approval: Christopher Hadad
Graduate Faculty Status
- Graduate chair submits to the Graduate School (except for emeritus faculty, who need approval of divisional dean—see “Retirement of Faculty” below)

PERFORMANCE REVIEWS (annual reviews)

Annual reviews of faculty
- Annual guidance template: Tina Henkin
- Questions about process: Tina Henkin
- Review of content: divisional deans as needed during AMCP/budget process

Annual review of staff
- Annual guidance template: Grace Chanfrau
- Questions about process: HR managers

Chair and center director annual review and reappointment review
- Template for annual review and reappointment review survey: Susan Williams
- Conduct and write annual review: divisional deans
- Notification of chairs about process and timetable for reappointment review: divisional deans
- Interview final candidates: divisional deans and David Manderscheid
- Approve offer letters: divisional deans, Kim Kinsel, David Manderscheid
- OAA approval of offer letters: HR managers
- Master list of terms and approvals: Jen Prak, Peggy Link

Annual reviews of deans
- Template and process management: Kim Kinsel, Peggy Link
- Review meetings: direct supervisor

Reappointment reviews for endowed chairs/eminent scholars
- Questions about process guidelines: Susan Williams
- Reappointment review: TIU head (initiate process and receive report)
- Approval: divisional deans, David Manderscheid
- Draft offer letters: HR managers
PROMOTION AND TENURE

General questions about promotion and tenure processes
- Tina Henkin (in consultation with Susan Williams, divisional deans, and OAA as needed)

Review of dossiers: fourth year review, clinical and research faculty reappointments, tenure reviews, promotion to full reviews
- Annual guidance documents: Susan Williams, Tina Henkin
- Dossier preparation assistance: Tina Henkin
- Review of potential external evaluator lists: Tina Henkin (with divisional deans)
- Initial screen of dossiers: Tina Henkin
- Records management (initial intake of dossiers/transmission of documents to OAA): Meg Piasecki
- Schedule review panel meetings, document processing: divisional deans’ assistants
- Draft review panel letters: divisional deans in conjunction with review panel readers
- Dean’s letters: Dean Manderscheid, Susan Williams, Tina Henkin, Meg Piasecki (distribution)
- Expedited/off-cycle reviews for promotion and tenure: divisional deans, approval by David Manderscheid
- Submission of requests for senior hires with tenure to OAA: HR managers

Requests for Extension of the Tenure Clock
- Chairs submit to divisional deans’ assistants
- Approval: divisional deans
- Faculty or chair questions about process/policy: Tina Henkin
- Submission to OAA and tracking: divisional deans’ assistants
- MOUs for modification of duties: HR managers; signed by chairs, divisional deans

Promotion and Tenure workshops for faculty: Susan Williams, Tina Henkin

Transition to Vita: Tina Henkin

FACULTY LEAVES

Faculty Professional Leaves
- Screener: Susan Williams
  - questions about docu-sign application: Meg Piasecki
  - approval: divisional deans
  - record keeping (application receipt and routing to OAA): Meg Piasecki
  - notification of college approval to chairs: Meg Piasecki
  - notification of Board approval to faculty: Susan Williams, Meg Piasecki
Who Does What for Chairs/Directors

- issues related to external funding sources: Andrea Ward Ross

Unpaid leave: personal, professional or entrepreneurial leaves
- Approval: divisional deans
  - receipt of requests: divisional deans’ assistants
  - submit/track OAA approval: HR managers

University business leave
- Approval: chair/director for faculty, divisional dean for chair/director
- OAA approval of business leaves longer than 10 days: HR managers

Special assignments
- Approval: divisional deans (by consultation with chair via course inventory process)
- Tracking: Meg Piasecki
- Submission of HRAs: HR managers

Course inventories (for planning for leaves and course releases)
- Disseminate and track data: Liana Crisan-Vandeborne
- Review inventories: Susan Williams, divisional deans
- Check/oversight of low enrollments: Steve Fink

RETENTION/RESIGNATION/RETIREMENT OF FACULTY

Requests for Emeritus Faculty Status
- Approval: divisional deans
  - intake: divisional deans’ assistants
  - check eligibility (at least 10 years of service at OSU; eligible for post-retirement benefits): HR managers
  - route to OAA: divisional deans’ assistants
  - space requests: Sergio Soave

Requests for Graduate Faculty Status
- Approval: divisional deans
- Route to Grad School for approval: divisional deans’ assistants

Counter Offers
- Approval: divisional deans, Kim Kinsel, David Manderscheid
  - individual preparation/tracking: divisional HR managers
  - report to divisional deans’ group about counter offers: Kim Kinsel
  - approval to OAA: HR managers
  - processing during AMCP: HR managers
COMPENSATION

AMCP process
• Manage and review spreadsheets: HR managers
• Review/approve AMCP requests: divisional deans
• Exceptions: David Manderscheid
• Distribute final increase information to departments: HR managers
• Enter AMCP increases into PeopleSoft: HR managers

Salary appeals process
• Set cohort; review and decide on chairs’ recommendations: divisional deans
• Appoint and liaison to Salary Appeals Committee: Susan Williams
• Data collection on research expenditures (if applicable): Andrea Ward Ross
• Final decision of appeals that go to committee: David Manderscheid
• Process any salary increases: HR managers

AWARDS AND PRIZES (to recognize prior achievement in various areas)

External awards and prizes - nominations and applications:
• College contact for departments: Tina Henkin
• College contact for Office of Research (Jeff Agnoli): Tina Henkin
  o coordination with ASC Communication (for stories and notices): Tina Henkin
  o letters of nomination: chair or departmental awards committee
  o if dean or provost letter needed, chair provides draft to Tina Henkin
  o college nomination letter writing: Susan Williams

Tracking external awards for congratulations and Office of Research annual event
• Contact for Office of Research: Tina Henkin
• Contact for informing college of new award: Tina Henkin

Distinguished University Professor applications
• Approver: David Manderscheid and divisional deans (sign letters)
  o Application receipt and routing: divisional deans’ assistants
  o request external evaluations: department chair in consultation with divisional deans’ assistants
  o college letter of nomination: Susan Williams

Distinguished College Professor applications
• Initiate process, convene committee: Tina Henkin
• Approvals: David Manderscheid and divisional deans
University teaching, service, scholar awards
- ASC contact: Tina Henkin
  - information on deadlines/reminders to chairs: Tina Henkin
  - letters of endorsement: divisional deans

Endowed/donor-funded college faculty awards
- Rodica Botoman Award for Distinguished Undergraduate Teaching: Steve Petrill
- Paul W. Brown Excellence in Teaching Award: Peter Hahn
- Harlan Hatcher Arts and Sciences Distinguished Faculty Award: Tina Henkin
- Susan M. Hartmann Mentoring and Leadership Award: Tina Henkin
- Joan Huber Award: Jan Box-Steffensmeier
- Ratner Award for teaching in arts and humanities: Susan Williams
- Virginia Hull Award: Steve Petrill

Other college awards
- Diversity Enhancement Faculty Award: Tina Henkin
- Honors Faculty Service Award: Lindsey Chamberlain
- Outstanding Teaching Award (ASC student council): Ann Rottersman

OUTREACH AND ENGAGEMENT

Coordination, reporting, and oversight: Peter Hahn
- New service learning course proposal grants: Steve Fink
- Science Sundays: Beth VanGundy
- Town/gown arts partnerships: Valarie Williams
- Table sponsorships: Valarie Williams
- K-12 partnerships: Valarie Williams (outreach), Steve Petrill (research proposals, grant applications)
- University Campus Art and Memorials Committee: Peter Hahn, Emily Oilar

RESEARCH SUPPORT

Internal Grants (Regional/Arts and Humanities small/large grants program)
- Application receipt and routing: Steve Petrill
- Internal Review/Panel convener: Steve Petrill
- Final review/approval: Steve Petrill in consultation with divisional deans

External Fellowship Subsidies:
- Approver: Divisional dean
  - Screener and write letters of approval: Steve Petrill
  - Contact for questions: Steve Petrill
Who Does What for Chairs/Directors

- Fiscal management (contracts with other institutions): Fiscal managers or OSP

Fellowship applications requiring institutional approval prior to submission:
- General contact: Andrea Ward Ross
- Those that require promise of External Fellowship Subsidies: Steve Petrill
- Those that require qualitative letter of endorsement: divisional deans
- Record keeping for subsequent research outcomes: Research Team

Grant Writing and Fellowship Workshops: Steve Petrill

Limited Submissions information: Steve Petrill; Kristina Ward (for assistance with writing in arts and humanities)

Waiver of F&A costs policy: Andrea Ward Ross

Policy on course buy outs: Kim Kinsel

Grants Management: Andrea Ward Ross
- Cost sharing
- PA 005
- Grant equipment transfers

Contracts
- OSP contracts: Andrea Ward Ross, Jared Port
- Non-OSP contracts: Tiffany Garner

COMPLIANCE/REPORTING

Consulting approvals for faculty
- Submission: to divisional deans’ assistants
- Approval: divisional deans

Financial Conflict of Interest Forms
- Approval: divisional deans via electronic system

Licensing and technology transfer
- Consultation: Steve Petrill

Research Misconduct
- Liaison to Jennifer Yucel’s office: Susan Williams and Christopher Hadad
  - assistance with discovery: Andrea Ward Ross
Who Does What for Chairs/Directors

College of Arts and Sciences

Public records requests
- Liaison with OSU Public Records Requests: Grace Chanfrau, HR managers

FERPA violations
- Investigation of disciplinary issues: Scott Burlingame
- Technology issues: Tim Smith

Disciplinary complaints (HR investigations, 04 process)
- General employee relations issues: Scott Burlingame, Grace Chanfrau, HR managers
- Liaison to college investigation committee: Susan Williams
- Consultation about starting an 04 process: Susan Williams

Diversity reporting
- Diversity plan tracking and implementation: Patrice Dickerson
- Diversity inventory tracking: Patrice Dickerson, Grace Chanfrau

GRADUATE STUDIES SUPPORT

General Questions: Jan Box-Steffensmeier

Continuous Enrollment (3 credit hours of tuition and fees for each qualifying resident student who is actively pursuing research away from the university; must not be eligible for Graduate School Matching Tuition and Fee Awards)
- Applications: https://artsandsciences.osu.edu/academics/graduate-students/funding-resources
- ASC Contact: Brian Orefice

Graduate Matching Tuition and Fee Awards (available through the Graduate School to graduate students who receive a competitive stipend from a grant or other funding agency (either faculty grants or Fulbrights, etc.) that does not cover tuition and fees; pre-approval is necessary three weeks ahead of grant proposal submission)
- Applications: https://intranet.asc.ohio-state.edu/guidelines/graduate/matching-tuition-fee-awards
- ASC Contact: Brian Orefice

Graduate College-Allocated Fellowship Waivers (need to be requested in advance of nomination in Graduate School fellowship system)
- ASC Contact: Brian Orefice

Graduate Data Reporting
- Contact: Brian Orefice
Diversity Recruitment in Graduate Studies
  • Contact: Jan Box-Steffensmeier, Brian Orefice

Graduate Research Small Grants
  • ASC contact: Brian Orefice

CURRICULUM

General questions: Steve Fink

Submission of new courses, course changes, new programs
  • Designated curriculum initiator through curriculum.osu.edu

Submission of program changes
  • Submitted by email to Garett Heysel (A&H) or Deborah Haddad (NMS, SBS)

Development of distance learning courses and programs: Steve Fink

Assistance with curriculum proposals and approval process
  • Bernadette Vankeerbergen, Deborah Haddad (SBS, NMS), Garett Heysel (A&H)

Assessment
  • Deborah Haddad (SBS, NMS), Garett Heysel (A&H), Steve Fink

Data and Business Intelligence Requests
  • Liana Crisan-Vandeborne, with cc: to Deborah Haddad

Global Engagement (includes education abroad; international agreements): Garett Heysel

COMMUNICATION

Main ASC Website
  • Overall strategy/direction: Libby Eckhardt
  • Faculty/chairs resources page: Tina Henkin, Susan Williams
  • Research: Steve Petrill, Christopher Hadad
  • Policies page: Susan Williams, Kim Kinsel
  • Faculty awards: Tina Henkin
  • Suggestions for faculty profiles/highlights: Tina Henkin
  • Suggestions for student highlights: Ann Rottersman
Who Does What for Chairs/Directors

Department Websites
- Development, support, training: Eva Dale

Announcements
- Student newsletter: Ann Rottersman
- News and Updates: Kevin Leonardi

Chair and Directors’ Mailing
- Announcements: Molly Kime
- List maintenance: Heather Core

MAJOR COMMITTEES

College faculty committees/appointment and liaison
- Investigation Committee: Susan Williams
- Salary Appeals Committee: Susan Williams
- Arts and Sciences Senate: Mary Ellen Jenkins
- IT Oversight committee: Kim Kinsel, Tim Smith
- Faculty Advisory Committee: David Manderscheid; back up: Susan Williams; election: Heather Core
- Arts and Humanities Research Committee: Steve Petrill, Peter Hahn
- Divisional P&T panels: Jan Box-Steppensmeier, Christopher Hadad, Peter Hahn

College-level administrative representatives to university committees/offices
- Council of Deans: David Manderscheid, Jan Box-Steppensmeier, Christopher Hadad, Peter Hahn
- Provost’s leadership team: David Manderscheid
- Senior Management Council: David Manderscheid
- University Senate: David Manderscheid and divisional deans
  - Senate Fiscal: David Manderscheid, Kim Kinsel
  - Senate Steering: David Manderscheid
- Senior HR officers: Grace Chanfrau
- Senior fiscal officers: Kim Kinsel
- Senior diversity officers: Susan Williams
- Senior information officers: Tim Smith
- College research officers: Christopher Hadad/Steve Petrill
- Curriculum associate deans: Steve Fink
- Council on Academic Affairs: Steve Fink
- Academic Program Advisory Committee: Steve Fink
- International Affairs Committee: Steve Fink
- Graduate school: Jan Box-Steppensmeier
Who Does What for Chairs/Directors

- Outreach and Engagement: Peter Hahn, Valarie Williams
- Faculty Resource Network: Tina Henkin
- Vita Transition working group: Tina Henkin
- Discovery Themes Executive Committee: David Manderscheid, Peter Hahn
- Physical planning: Sergio Soave
- University Communications group: Libby Eckhardt